

Practitioner's Docket N. HO58-0039

#141 Arg For  
PATENT Refund  
08-12-07

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mathew S. Cooper

Application No.: 09/699,897 Group No.: 1753

Filed: October 27, 2000 Examiner: R. McDonald

For: "Physical Vapor Deposition Components and Methods of Formation"

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

## I. RETURN OF REFUND REQUEST

This is a request for a refund, with respect to the debit to Deposit Account 23-0925, shown on the statement dated July 25, 2003 for the above-identified application.

A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

A copy of the Express Mail Declaration, returned postcard, copy of the Office Communication from PTO dated 02/10/2003, copy of the Office Action Summary, accompanies this request.

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

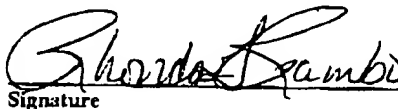
I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

## FACSIMILE

- XX transmitted by facsimile to the Patent and Trademark Office. 703-308-6778

  
Signature

Rhonda G. Rambo

(type or print name of person certifying)

Date: 29 July 2003

(Request for Debit (Improper Credit of Deposit Account)—page 1 of 2)

8 total pages

**II. FEES CHARGED FOR WHICH REFUND IS REQUESTED****AMOUNT OF  
REFUND  
REQUESTED**

Improper charge for Extension for first month response

\$110.00

**TOTAL REFUND REQUESTED****\$110.00****III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

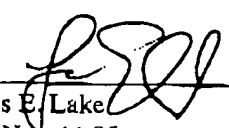
On May 12, 2003 we filed an Office Action Response to the Office Communication Notice from the PTO dated February 10, 2003. This Office Action Communication had a (3) three month expiration period; making this Response due on Saturday, May 10, 2003; pursuant to 37 CFR 1.7(a), we filed the Office Action Response on Monday, 5/12/2003.

Therefore, no fee is believed to be required. Please credit Deposit Account No. 23-0925 with the above fee amount.

**IV. MANNER OF REFUND**

Please Credit Account No. 23-0925.

Date: 29 Jul 2003

  
James E. Lake  
Reg. No. 44,854  
Wells St. John P.S.  
601 West First Ave., Suite 1300  
Spokane, WA 99201-3828  
Tel. No.: (509) 624-4276  
Customer No.: 021567

(Request for Debit (Improper Credit of Deposit Account)—page 2 of 2)



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



### Deposit A count Statement

Requested Statement Month: July 2003  
 Deposit Account Number: 230925  
 Name: WELLS ST JOHN & ROBERTS GREGORY & MATKIN PS  
 Attention: ATTN BARBARA SCHURRA  
 Address: 601 WEST FIRST AVENUE SUITE 1300  
 City: SPOKANE  
 State: WA  
 Zip: 99201-3817

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/01	218	09421625	MI22-1284	1251	\$110.00	\$12,290.00
07/01	219	09421625	MI22-1284	1801	\$750.00	\$11,540.00
07/01	258	76384732	IT2-131	7003	\$100.00	\$11,440.00
07/02	16	78143152		6001	\$670.00	\$10,770.00
07/02	36	09447728		9204	-\$1,076.00	\$11,846.00
07/03	11	10404320	UI1-011	2202	\$27.00	\$11,819.00
07/03	188	09677478	MI22-1544	1202	\$234.00	\$11,585.00
07/07	971	2076972	PR24-101	7205	\$100.00	\$11,485.00
07/07	972	2078972	PR24-101	7208	\$200.00	\$11,285.00
07/08	21	10282724	M122-2176	1806	-\$180.00	\$11,465.00
07/08	30	78143152		6001	-\$670.00	\$12,135.00
07/09	1	09533058	MI22-1404	1202	-\$72.00	\$12,207.00
07/09	2	09687600	M122-15550	1202	-\$108.00	\$12,315.00
07/09	1027	78272082	LI13-116	7001	\$335.00	\$11,980.00
07/10	1	P107728		1460	\$130.00	\$11,850.00
07/10	2	P107727		1460	\$130.00	\$11,720.00
07/10	32	78143152		6001	\$335.00	\$11,385.00
07/10	125	10614806	H004239	1001	\$750.00	\$10,635.00
07/10	126	10614806	H004239	1202	\$270.00	\$10,365.00
07/10	127	10614806	H004239	1460	\$130.00	\$10,235.00
07/10	128	10614807	H0004116-US	1001	\$750.00	\$9,485.00
07/10	129	10614807	H0004116-US	1201	\$672.00	\$8,813.00
07/10	130	10614807	H0004116-US	1202	\$1,602.00	\$7,211.00
07/10	131	10614807	H0004116-US	1460	\$130.00	\$7,081.00
07/11	1	09580733	MI22-1357	1806	\$180.00	\$6,901.00
07/11	2	10145562	MI22-2028	1806	\$180.00	\$6,721.00
07/14	11	PAYMENT		9203	-\$8,500.00	\$15,221.00
07/14	20	10134201	MI22-1805	1201	\$84.00	\$15,137.00
07/14	280	PCT/US02/32885	AV1-090	1607	\$560.00	\$14,577.00
07/14	302	10232238	AN21002	8007	\$40.00	\$14,537.00
07/14	308	60407265	AN21003	8007	\$40.00	\$14,497.00

07/14 310	60407040	AN21004	8007	\$40.00	4,457.00
07/14 312	60407509	AN21005	8007	\$40.00	\$14,417.00
07/14 314	10359329	AN21006	8007	\$40.00	\$14,377.00
07/15 1	10202554	PO4-070	1202	\$324.00	\$14,053.00
07/15 15	09943180	MI22-1770	1806	-\$180.00	\$14,233.00
07/15 19	10261830	MI22-2137	1806	-\$180.00	\$14,413.00
07/17 15	10364213	MI22-2107	1806	-\$180.00	\$14,593.00
07/17 20	10618471	M122-2331	1201	\$84.00	\$14,509.00
07/17 153	09534822	MI22-1401	1801	\$10.00	\$14,499.00
07/18 19	10442017	13041-E-US	2201	\$42.00	\$14,457.00
07/21 99	09650711	MI22-1481	1504	\$300.00	\$14,157.00
07/22 2	10013615	MI22-1842	1806	-\$180.00	\$14,337.00
07/22 7	10332202		9204	-\$80.00	\$14,417.00
07/22 43	09580733	MI22-1357	1806	-\$180.00	\$14,597.00
07/22 975	78277217	PO4-1207	7001	\$335.00	\$14,262.00
07/22 1031	78277243	PO4-1206	7001	\$335.00	\$13,927.00
07/22 1134	1787250	WI306-103	7205	\$100.00	\$13,827.00
07/22 1135	1787250	WI306-103	7201	\$400.00	\$13,427.00
07/23 11	10614806	H004239	8021	\$40.00	\$13,387.00
07/23 29	5433007		2555	-\$65.00	\$13,452.00
07/23 54	0578959	NO9-101	7205	\$100.00	\$13,352.00
07/23 55	0578959	NO9-101	7201	\$400.00	\$12,952.00
07/23 233	78277406	KE2-146	7001	\$335.00	\$12,617.00
07/23 367	78277471	PO4-1208	7001	\$335.00	\$12,282.00
07/23 383	78277479	PO4-1209	7001	\$335.00	\$11,947.00
07/23 397	78277485	PO4-1210	7001	\$335.00	\$11,612.00
07/23 409	78277490	PO4-1211	7001	\$335.00	\$11,277.00
07/23 432	78277501	PO4-1212	7001	\$335.00	\$10,942.00
07/23 441	78277509	PO4-1213	7001	\$335.00	\$10,607.00
07/23 479	78277528	PO4-1214	7001	\$335.00	\$10,272.00
07/24 102	PCT/US03/22080	H0004116-PCT	1703	\$110.00	\$10,162.00
07/24 104	PCT/US03/22080	H0004116-PCT	1707	\$520.00	\$9,642.00
07/24 105	PCT/US03/22080	H0004116-PCT	8007	\$60.00	\$9,582.00
07/24 129	PCT/US03/22107	H0004239-US	1602	\$250.00	\$9,332.00
07/25 2	09699897	H001190(4016	1251	\$110.00	\$9,222.00
07/25 52	10145562	MI22-2028	1806	-\$180.00	\$9,402.00
07/28 6	PCT/US03/19693	PC3-045	8007	\$20.00	\$9,382.00
07/28 23	PAYMENT		9203	-\$5,000.00	\$14,382.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$12,400.00	\$14,849.00	\$16,831.00	\$14,382.00

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION SERIAL NO ..... 09/699,897  
 FILING DATE ..... October 27, 2000  
 INVENTORSHIP ..... Mathew S. Cooper  
 ASSIGNEE ..... Honeywell International Inc.  
 GROUP ART UNIT ..... 2812  
 EXAMINER ..... R. McDonald  
 ATTORNEY'S DOCKET NO ..... H0001190  
 TITLE: Physical Vapor Deposition Components and methods of Formation

EX POST DECLARATION OF EXPRESS MAILING

I hereby declare that I have deposited the below-listed papers with the United States Postal Service "Express Mail Post Office to Addressee" service, addressed and posted as indicated below, in compliance with 37 CFR 1.10. The Express Mail label No. has been placed on one or more of the papers listed.

Express Mail Number: EV 085413835 US

Date of Deposit: May 12, 2003

Time of Deposit: 4:00 a.m./p.m.

Deposited By: ☒ Delivery to Postal Employee☐ Place in Drop Box

Addressee: Box Attn: Art Unit 2812

Assistant Commissioner of Patents

Washington, DC 20231

Place of Deposit:☐ U.S. Post Office (Paulsen Bldg. Br.)

421 West Riverside, Room 201

Spokane, Washington 99201

Last Scheduled Drop Box Pickup: 4:40 p.m.

☐ U.S. Post Office (DIST. CTR.)

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Spokane, Washington 99202

Last Scheduled Drop Box Pickup: 9:00 p.m.

☒ U.S. Post Office (Riverside Station)

904 West Riverside

Spokane, Washington 99201

Last Scheduled Drop Box Pickup: 5:00 p.m.

☐ U.S. Post Office \_\_\_\_\_ Branch

Last Scheduled Drop Box Pickup: \_\_\_\_\_ a.m./p.m.

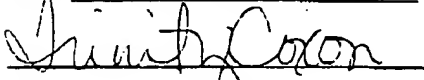
Listing Of Items Enclosed In Mailing:

1. PTO Return Postcard Receipt
2. Transmittal Form (PTO/SB/21)
3. Fee Transmittal (PTO/SB/17)
4. A check for \$180.00
5. Response to February 10, 2003 Office Action
6. Information Disclosure Statement; PTO-1449; cited references

EV085413835US

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful statement may jeopardize the validity of the application or any patent issued therefrom.

Dated May 12, 2003 at Spokane, Washington



Printed Name: Trinity Coxon

H0001190

Honeywell International Inc.

5/12/03

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the following papers relating to the Patent application of Mathew S. Cooper, Serial No. 09/688,887, filed October 27, 2000, entitled "Physical Vapor Deposition Components and Methods of Formation".

1. PTO Return Postcard Receipt
2. Transmittal Form (PTO/SB/21)
3. Fee Transmittal (PTO/SB/17)
4. A check for \$180.00
5. Response to February 10, 2003 Office Action
6. Information Disclosure Statement; PTO-1449; cited references

H058-008

JELawrym

EV085413835

DATE MAILED: \_\_\_\_\_

SBU: \_\_\_\_\_

PATENT

H0001190

5/12/03

Honeywell International Inc.

INVE

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FICE

H0001190

Honeywell International Inc.

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1 references



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EV085413835

H058-008  
JELawrym

Attorney: \_\_\_\_\_



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/699,897	10/27/2000	Matthew S. Cooper	H0001190(4016)	7249

7570 02/10/2003

Shannon Morris  
Honeywell International Inc  
P O Box 2245  
101 Columbia Road  
Morristown, NJ 07962

EXAMINER

MCDONALD, RODNEY GLENN

ART UNIT	PAPER NUMBER
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DATE MAILED: 02/10/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

JUL-30-2003 09:15

WEL

T JOHN PS

5098383424

P.08

COMMENT Version Description lives here

Application No.

Applicant

@PJL JOB NAME="http://uspto-a-ofis-2/./te

@PJL SET ECONOMODE=OFF

@PJL SET RET=ON

@PJL SET RESOLUTION=600

@PJL SET IMAGEADAPT=AUTO

@PJL SET DENSITY=3

@PJL ENTER LANGUAGE=PCL